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Author(s): Andrew K. Carlson, William W. Taylor, Jianguo Liu and Ivan Orlic

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## Peruvian anchoveta as a telecoupled fisheries system

Andrew K. Carlson<sup>1,2</sup>, William W. Taylor<sup>1,2</sup>, Jianguo Liu<sup>1,2</sup> and Ivan Orlic<sup>1</sup>

**ABSTRACT.** Fisheries are coupled human and natural systems (CHANS) across distant places, yet fisheries research has generally focused on better understanding either fisheries ecology or human dimensions in a specific place, rather than their interactions over distances. As economic and ideational globalization accelerate, fisheries are becoming more globally connected via movements of fish products and fisheries finances, information, and stakeholders throughout the world. As such, there is a pressing need for systematic approaches to assess these linkages among global fisheries, their effects on ecosystems and food security, and their implications for fisheries science and sustainability. Use of the telecoupling framework is a novel and insightful method to systematically evaluate socioeconomic and environmental interactions among CHANS. We apply the telecoupling framework to the Peruvian anchoveta (*Engraulis ringens*) fishery, the world's largest single-species commercial fishery and a complex CHANS. The anchoveta fishery has diverse and significant telecouplings, socioeconomic and environmental interactions over distances, with the rest of the world, including fishmeal and fish oil trade, monetary flow, knowledge transfer, and movement of people. The use of the telecoupling framework reveals complex fishery dynamics such as feedbacks (e.g., profit maximization causing fishery overcapitalization) and surprises (e.g., stock collapse) resulting from local and long-distance ecological and socioeconomic interactions. The Peruvian anchoveta fishery illustrates how the telecoupling framework can be used to systematically assess the magnitude and diversity of local and distant fisheries interactions and thereby advance knowledge derived from traditional monothematic research approaches. Insights from the telecoupling framework provide a foundation from which to develop sustainable fisheries policy and management strategies across local, national, and international levels in a globalized world.

**Key Words:** anchoveta; environmental interactions; Peru; socioeconomic interactions; telecoupling framework; telecouplings

### INTRODUCTION

Encompassing aquatic organisms, aquatic habitats, and human users, fisheries are social-ecological systems (Wilson 2006, Cinner 2011, Pinsky and Fogarty 2012) and coupled human and natural systems (CHANS; Liu et al. 2016, Carlson et al. 2017). Amid economic and ideational globalization, fisheries are quickly becoming more globally connected (Crona et al. 2015, Tapiá-Lewin et al. 2017) via movements of fish products and fisheries finances, information, and stakeholders (e.g., fishers, fishmeal producers). Globalization has facilitated such connections among faraway fisheries for centuries, yet “distant water” fishing quickened in the mid-twentieth century as the Soviet Union, Japan, Spain, and other nations harvested fish oceans throughout the world (Österblom and Folke 2015). Today, China is the world's largest producer and consumer of finfish and shellfish (Cao et al. 2015), practicing “contagious exploitation” of sea cucumber (Echinodermata, *Holothuroidea* spp.) imported from 83 countries (Eriksson et al. 2015) and acquiring fishmeal from Peru, Chile, and Russia to support a rapidly growing aquaculture industry (i.e., 5–6% annual growth, 2000–2012; China Agriculture Press 2013, FAO 2014). Fish promote food security and support commercial, recreational, and subsistence fisheries throughout the world (Taylor et al. 2007), making it important to understand how the quickening pace of globalization is connecting the human and natural components of fisheries in distant locations.

Fisheries globalization can cause unexpected ecological and socioeconomic effects. For example, distant water fishing by the Soviet Union in the Scotian Shelf and the Baltic and Black seas led to overfishing, fisheries regime shifts, and global governance responses for improved management (Österblom and Folke 2015). Globalization can also expose distant water fishing nations to shocks, i.e., fish and monetary losses caused by stock collapses,

policy changes, and other relatively unpredictable events (Gephart et al. 2016, 2017). Livelihoods of artisanal and subsistence fishers can be jeopardized when globalization promotes rapid changes in fish abundance and food supply resulting from competitive fish harvest and exportation to international markets (Crona et al. 2015). As pressure on the world's fisheries continues to rise (Cao et al. 2015), there is a pressing need for scientific tools that can be used to evaluate linkages among global fisheries (e.g., trade, monetary exchange), their effects on ecosystems and food security, and their implications for fisheries science and sustainability.

As economic and ideational globalization accelerate, understanding social-ecological dynamics in local and distant systems is likely to be a burgeoning area of fisheries science, both marine (Essington et al. 2017) and freshwater (Hunt et al. 2016). Despite growth in global fisheries research focusing on international connections among fisheries (Cao et al. 2015, Österblom and Folke 2015, Gephart et al. 2016, Tapiá-Lewin et al. 2017), there are still knowledge gaps surrounding these linkages, including their magnitude and extent, underlying causes, and ultimate effects. Hence, there is need for a conceptual paradigm for quantifying and understanding interactions among fisheries across local to global levels. The telecoupling framework enables integrative evaluation of the economic, political, social, cultural, and ecological interactions among CHANS over distances (Liu et al. 2013). It has enhanced knowledge and promoted effective science-based policy and management approaches in terrestrial systems, e.g., Wolong Nature Reserve for giant pandas (*Ailuropoda melanoleuca*; Liu et al. 2015) and certain aquatic systems, e.g., Chinese urban water systems (Deines et al. 2016, Liu et al. 2016, Yang et al. 2016). Thus, the telecoupling framework is a promising tool for studying globalized fisheries

<sup>1</sup>Center for Systems Integration and Sustainability, Department of Fisheries and Wildlife, Michigan State University, Michigan, USA, <sup>2</sup>Ecology, Evolutionary Biology, and Behavior, Michigan State University, Michigan, USA

and addressing knowledge gaps regarding the structure, scale, drivers, and consequences of long-distance fisheries interactions (Crona et al. 2016, Gephardt et al. 2017). By enabling systematic assessment of telecouplings, socioeconomic and environmental interactions between human and natural systems over distances (Liu et al. 2013), the telecoupling framework can reveal crucial information for sustainable fisheries policy and management throughout the world.

The goal of this study was to investigate movements of fish products, money, knowledge, and people associated with the Peruvian anchoveta (*Engraulis ringens*) fishery using the telecoupling framework to inform development of ecologically, socioeconomically sustainable fishery policy and management approaches now and in the future. We focused on Peruvian anchoveta because this species supports the largest single-species fishery in the world, with 6-7 million metric tons (MMT) of fish harvested annually (Orlic 2011, Avadí et al. 2014). To understand the fishery's telecoupled attributes and inform policy and management, we evaluated the fishery from the late 1940s to the present, a period of rapid growth in Peru's anchoveta harvest and thus fishery-related interactions with other nations (i.e., interactions conducive to telecoupling analysis). Moreover, the governance structures underlying current anchoveta policy and management approaches were created during this era (Orlic 2011), making it a logical, opportune time period to begin a telecoupling analysis.

Today, the Peruvian anchoveta fishery is a globally important aquatic CHANS wherein fish products, money, and information are exchanged throughout the world and fishery stakeholders move long distances within and among nations for anchoveta-related activities (Orlic 2011). By applying the telecoupling framework to the Peruvian anchoveta fishery, we hope to systematically understand its complex environmental and socioeconomic components. Such understanding is crucial for sustainable anchoveta management and policy making, which require knowledge of the fishery's human and natural systems and telecoupled flows (e.g., fish products, money, information), particularly the people and organizations whose decisions affect anchoveta and anchoveta-dependent communities throughout the world.

## THE TELECOUPLING FRAMEWORK AND APPLICATIONS

The telecoupling framework is rooted in extant paradigms such as teleconnection, i.e., environmental interactions between natural systems over distances, and globalization, i.e., socioeconomic interactions between human systems over distances (Dreher et al. 2008, Liu et al. 2013). However, it significantly advances these approaches by allowing simultaneous assessment of socioeconomic and environmental interactions among CHANS. As such, the telecoupling framework provides a way to evaluate fundamental questions (i.e., who, what, when, where, why, and how?) regarding movements of fish products and fisheries finances, information, and stakeholders among CHANS. These movements are termed flows, which are produced by one or more causes (e.g., economic, political, social, cultural, ecological) and facilitated by agents (e.g., individuals, organizations, governments) with resultant effects (Liu et al. 2013). An individual telecoupling involves one or more sending

systems, those from which knowledge and materials flow, and receiving systems, those to which knowledge and materials flow (Liu et al. 2013). Spillover systems are those that affect, or are affected by, local, regional, or international interactions between sending and receiving systems (Liu et al. 2013). For instance, when two countries (A and B) harvest fish from the same stock, and country A exports fish to a third country C while country B does not, country B becomes a spillover system whose fish landings may be affected by fish trade between countries A and C.

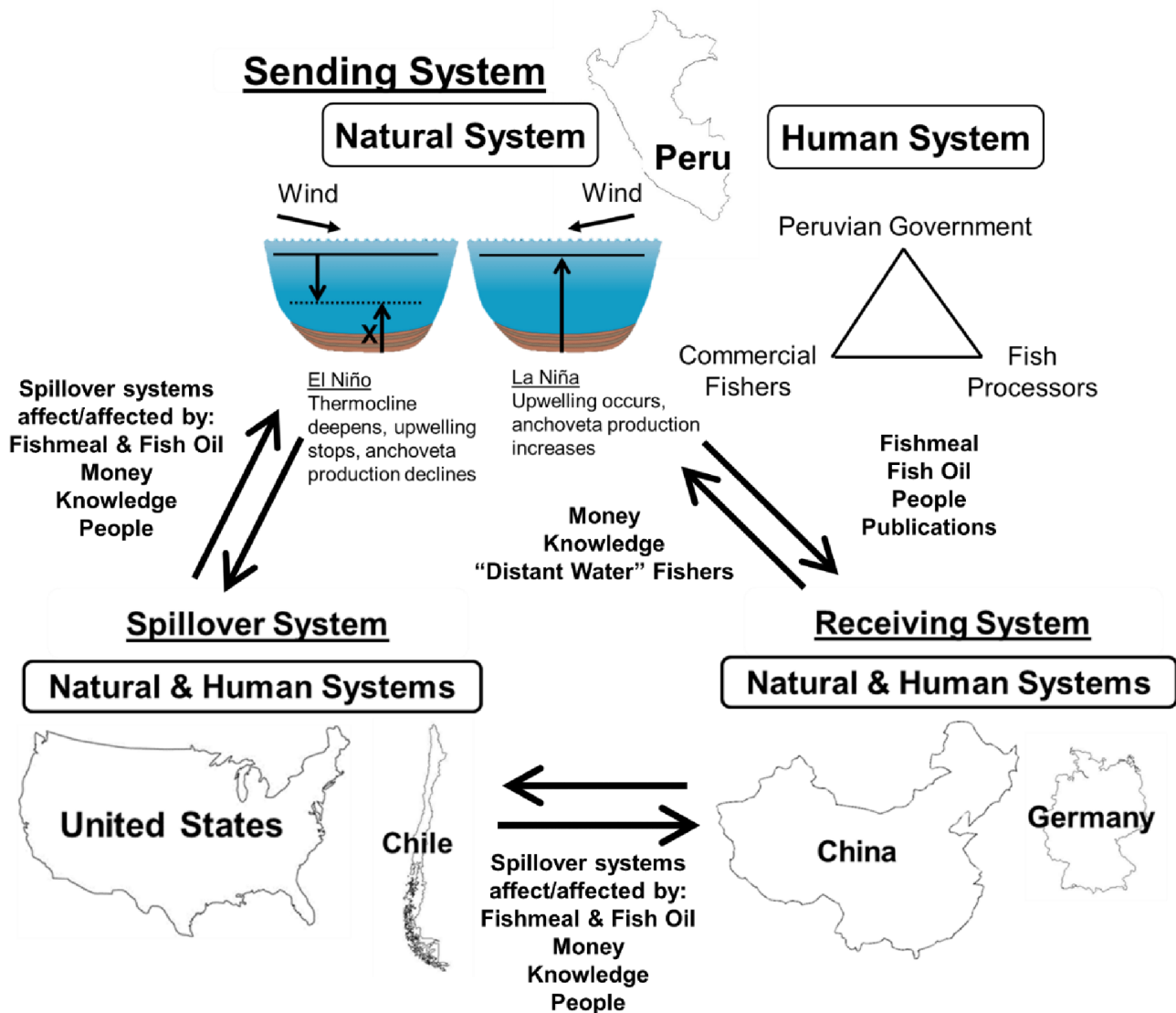
Some telecoupling research is data intensive, i.e., involves analysis of data collected by authors (Liu et al. 2015, Sun et al. 2017), whereas other telecoupling research entails synthesis of peer-reviewed literature to comprehensively understand relationships among systems, flows, agents, causes, and effects that have historically been studied in isolation, i.e., not using the telecoupling framework (Fang et al. 2016, Hulina et al. 2017). This study is in the latter category. We collaboratively identified telecouplings in the Peruvian anchoveta fishery, and we used primary literature to explain elements of the telecoupling framework relative to each anchoveta telecoupling (sensu Deines et al. 2016, Yang et al. 2016). That is, we examined relevant studies that focused on specific telecoupling components (i.e., systems, flows, agents, causes, effects) and applied the telecoupling framework to link them in the context of sustainable anchoveta policy and management. The present study expanded and advanced thesis research (Orlic 2011) completed by a Peruvian anchoveta expert with personal experience in the fishery and extensive knowledge of government and industry data sources related to it (e.g., IMARPE 1970, IFFO 2009). These information sources and associated peer-reviewed literature were the data-driven knowledge base for our investigation of Peruvian anchoveta telecouplings.

## THE PERUVIAN ANCHOVETA FISHERY AS A TELECOUPLING SYSTEM

The Peruvian anchoveta fishery is located in the Pacific Ocean's Northern Humboldt Current System (NHCS) off the coast of Peru and Chile, in which coastal upwelling transports nutrient-rich water into the photic zone, increasing phytoplankton growth (Barber and Chavez 1983) and anchoveta production (Cury et al. 2000). However, relatively warm (El Niño) or cool (La Niña) phases of the Pacific Decadal Oscillation (PDO) change ecosystem productivity. During the El Niño phase, westerly winds move warm surface waters toward Peru, deepening the thermocline and preventing upwelling of nutrient-rich cold water. These conditions reduce phytoplankton abundance and thus anchoveta production, opening a niche for sardine (*Sardinops sagax sagax*; Bertrand et al. 2004). In contrast, a La Niña upwelling magnifies nutrient flux, increasing anchoveta production and decreasing sardine production (Bakun and Broad 2003, Bakun 2005). Thus, climatic conditions directly regulate the biological production of anchoveta and ultimately the magnitude and timing of fish harvest by nations such as Peru, setting the environmental conditions in which telecouplings occur (Fig. 1).

Anchoveta are primarily processed into fishmeal and fish oil (FMFO) and distributed internationally as feed ingredients for animal agriculture and aquaculture, which are growing industries throughout the world (Smith et al. 2010). A fleet of 1200 Peruvian ships, including 608 steel industrial and 592 wooden vessels,

**Fig. 1.** Telecouplings among sending, receiving, and spillover systems in the Peruvian anchoveta fishery. Arrows denote flows among systems, each of which have natural and human components. Peru is the sending system for fishmeal, fish oil, people (e.g., commercial fishers, students), and publications delivered to receiving systems such as China and Germany. Peru is the receiving system for money, knowledge, and “distant water” fishers from other countries. The United States, Chile, and Germany are examples of spillover systems, nations that affect, or are affected by, flows involving sending and receiving systems.



harvests 6-7 MMT of anchoveta every year (Orlic 2011, Avadí et al. 2014). Anchoveta are then transported to Peru’s production plants ( $n = 140$ ; Orlic 2011) in the coastal cities of Chimbote and Pisco, which process over 9000 metric tons of fish per hour and employ 47,000 workers (IFFO 2009, Christensen et al. 2014). Although anchoveta are important to small-scale Peruvian fishers that sell them for direct human consumption (DHC), only two percent of landings are allocated for this purpose (Fréon et al. 2014). In contrast, Peruvian anchoveta support approximately 50 percent of global fishmeal production and 33 percent of global fish oil production, making Peru the largest exporter of FMFO worldwide (Avadí et al. 2014). In turn, global trade of FMFO

causes movement of money, information, and people. As such, the anchoveta fishery has four prominent telecouplings: FMFO trade, monetary flow, knowledge transfer, and movement of people.

#### Systems

Systems (i.e., sending, receiving, and spillover) are CHANS, integrated units in which humans and nature interact (Liu et al. 2007a, b). In the FMFO telecoupling, Peru is the sending system, whereas receiving systems are nations that import FMFO, including China, the United States, Germany, Spain, Norway, and Denmark (Table 1, Fig. 1; Orlic 2011, Avadí et al. 2014, Fréon

**Table 1.** Summary of systems, flows, agents, causes, and effects associated with telecouplings in the Peruvian anchoveta fishery. FMFO = fishmeal and fish oil.

Components of the telecoupling framework		Examples related to Peruvian anchoveta
Systems (units in which humans and nature interact)	Sending (origins/sources/donors)	Peru (FMFO); China, Denmark, Germany, Norway, Peru, Spain, United States (money); Peru (knowledge); Peru, Soviet Union (people); Galdorisi and Kaufman (2001), Orlic (2011), Avadí et al. (2014), Fréon et al. (2014)
	Receiving (destinations/recipients)	China, Denmark, Germany, Norway, Spain, United States (FMFO); Peru (money, knowledge); Peru (people); Galdorisi and Kaufman (2001), Orlic (2011), Avadí et al. (2014), Fréon et al. (2014)
	Spillover (systems that affect/are affected by sending-receiving system interactions)	Chile, United States (FMFO); aquaculture and animal agriculture markets, global wheat market, Northern Humboldt Current System (money); Chile (knowledge); countries Peruvian travelers visit, economies they support (people); Glantz (1979), Barber and Chavez (1983), Orlic (2011), Fréon et al. (2014)
Flows (movements of material, information, people, etc., between systems)	FMFO; money; knowledge (e.g., fishing vessel design, fish harvesting and processing, fishery sustainability strategies, military development strategies); people (e.g., Peruvian and foreign fishers, Peruvian students); Roemer (1970), Berrios and Blasier (1991), Orlic (2011), Fréon et al. (2014), Österblom and Folke (2015)	
Agents (autonomous decision-making entities that directly or indirectly facilitate or hinder telecouplings)	Fishing companies and commercial fishers (Peruvian); subsistence fishers (Peruvian); governments and political leaders (Peruvian, foreign); “distant water” fishers (foreign); foreign producers (FMFO, aquaculture, animal agriculture); World Bank, United Nations FAO, Inter-American Development Bank; news media outlets, academic journals, book publishers; Glantz (1979), Lemay (1998), Gréboval and Munro (1999), Aguilar Ibarra et al. (2000), McPhaden (2003), Orlic (2011), Österblom and Folke (2015)	
Causes (factors that influence emergence or dynamics of telecouplings)	Environmental	Climate (Pacific Decadal Oscillation, El Niño, La Niña), anchoveta-sardine dynamics; Barber and Chavez (1983), Cury et al. (2000), Norton and Mason (2005)
	Economic	Demand for aquaculture/animal agriculture feed, fish oil; Orlic (2011), Avadí et al. (2014)
	Political	Profit-seeking mentality; desire to occupy South America (Soviet Union); Berrios and Blasier (1991), Aguilar Ibarra et al. (2000), Orlic (2011), Österblom and Folke (2015)
	Technological Cultural/humanitarian	Improved fishing and fish processing technology; Orlic (2011) Protein preference (chicken over anchoveta), desire to feed people; Orlic (2011), Fréon et al. (2014)
Effects (impacts or consequences of telecouplings)	Environmental	Stock collapse (1972); Gréboval and Munro (1999), Bertrand et al. (2004), Orlic (2011)
	Socioeconomic Before collapse	Revenue, fishery development, employment, coastal development; Aguilar Ibarra et al. (2000), Orlic (2011)
	After collapse	Debt, inflation, unemployment, malnutrition, poverty, decreased wheat demand (United States), wheat scarcity (spillover), international maritime law, paradigms of sustainability, corporate responsibility; Borlaug (1973), Glantz (1979), McPhaden (2003), Orlic (2011)

et al. 2014). Spillover systems include those that influence, or are affected by, FMFO trade (Liu et al. 2013). For instance, Peru’s historical profit seeking and overcapitalization, wherein fishing vessels and processing plants became unsustainably abundant relative to anchoveta biomass production (Gréboval and Munro 1999), initially increased anchoveta harvest and trade in Peru. This magnified competition between Peru and Chile for finite anchoveta stocks, making Chile a spillover system relative to the Peruvian fishery (Table 1, Fig. 1; Orlic 2011). In addition, after a La Niña phase of the PDO caused a decline in the California sardine (*Sardinops sagax caerulea*) fishery in the 1940s (Norton and Mason 2005), Peru imported fish processing equipment from

the United States, a spillover system that affected the interaction between Peru and anchoveta-importing nations by enabling Peru to export more fish (Table 1; Orlic 2011).

In the fishery finances telecoupling, sending systems are nations that purchase anchoveta-based FMFO from Peru (receiving system) to enhance their food security and socioeconomic well-being. These countries include China, Denmark, Germany, Norway, Spain, and the United States (Table 1, Fig. 1; Orlic 2011, Avadí et al. 2014, Fréon et al. 2014). Peru was a sending system when the anchoveta fishery was being established because the Peruvian government purchased infrastructure for fishery



development, including fish harvesting equipment and processing facilities (Table 1; Orlic 2011). Spillover systems include those that benefit from FMFO acquired by receiving systems, e.g., aquaculture and animal agriculture markets, (Fréon et al. 2014) and those that influence FMFO exports from Peru, e.g., global wheat market, NHCS, (Glantz 1979, Barber and Chavez 1983), or anchoveta payments from sending systems, e.g., Chilean government (Orlic 2011).

In the knowledge transfer telecoupling, historical sending systems were nations such as the United States that delivered fishery information (e.g., harvest techniques, management procedures) to Peru, the receiving system (Table 1, Fig. 1; Orlic 2011). Now, as a global leader in fisheries sustainability (Mondoux et al. 2008), Peru is the sending system for knowledge received by countries seeking to practice sustainable fisheries management. Spillover systems include those, such as Chile, in which people have acquired information (e.g., fish processing techniques, sustainability strategies) from exchanges between sending and receiving systems (Fig. 1; Orlic 2011).

In the human movement telecoupling, sending systems are those from which fisheries stakeholders (e.g., fishers, FMFO producers, politicians) move to Peru, the receiving system (Orlic 2011). For instance, historical distant water fishing nations, such as the Soviet Union (Galdorisi and Kaufman 2001), were sending systems (Table 1, Fig. 1). Peru also acted as a sending system in the 1960s and 1970s when fishers traveled internationally to learn fishing technologies and integrate this knowledge into Peruvian fisheries to improve anchoveta harvest and processing (Orlic 2011). Receiving systems include nations where Peruvian fishers traveled and continue to travel today (Orlic 2011). Spillover systems include countries people visit and economies they make purchases in traveling to and from Peru (Orlic 2011, Liu et al. 2013).

### Flows

Flows are movements of fish products and fisheries finances, information, and stakeholders among CHANS. In the FMFO telecoupling, major flows are movements of FMFO and associated exchange of money and ideas (e.g., historical profit-focused fishery management) throughout the world (Table 1, Fig. 1; Orlic 2011). Peru annually produces 1.3-1.8 MMT of fishmeal and 250,000 metric tons of fish oil worth approximately \$2 billion U.S. dollars (IFFO 2009, FAO 2010). The vast majority of anchoveta (98%) is exported for indirect human consumption as FMFO, making Peru the largest anchoveta exporter worldwide. The largest importers of Peruvian anchoveta are China (41-53% of imports), Germany (12-16%), Japan (10-13%), and Taiwan (3-4%; FAO 2010, Orlic 2011). Anchoveta used for DHC (2%) are processed into canned (1.6%) and frozen (0.2%) food products for domestic use and cured anchoveta (0.2%) for exportation (Fréon et al. 2014). Although FMFO trade was historically driven by profit seeking and caused fishery overcapitalization, international information flow has since fostered sustainable fisheries management in Peru (Mondoux et al. 2008).

In the fishery finances telecoupling, the principal flow is movement of money from sending to receiving systems, driven by demand for food security and socioeconomic well-being (Table 1, Fig. 1; Orlic 2011). For example, during the 1960s and the 1970s, money from international organizations, such as the World Bank and the United Nations Food and Agriculture Organization

(FAO), flowed into Peru to fund fishery infrastructure, including fishing vessels and fish processing facilities (Lemay 1998). Today, money flows into Peru from sending systems in exchange for FMFO exported to receiving systems (Orlic 2011).

In the knowledge transfer telecoupling, flows include anchoveta harvest/processing methods and fishery management approaches, sustainability strategies, and other forms of knowledge (Table 1, Fig. 1; Orlic 2011). For example, development of the Peruvian anchoveta fishery was catalyzed by flows of information regarding fish harvesting and processing from distant nations, including the United States (Roemer 1970). In the 1960s and 1970s, Peruvian fishing companies acquired knowledge about fishing technologies (e.g., advanced netting, refrigeration) from other countries and implemented these practices in Peru (Orlic 2011), which increased anchoveta harvest and trade (Paulik 1981). Moreover, knowledge flow from the United States caused Peru and Chile to establish exclusive economic zones (EEZs) within 200 miles of their ocean shores in 1947 (Garcia-Amador 1974, Paulik 1981), thereby protecting the integrity of their stocks and of the benefits provided by these fish to national identity and socioeconomic well-being (Orlic 2011). Costa Rica, El Salvador, Honduras, and 10 Arab states and emirates followed suit from 1948-1951 by establishing EEZs to protect coastal fishing and other natural resource rights (e.g., oil, gas, mineral) from exploitation by distant water fishers (Cushman 2013). This demonstrates how fisheries-related knowledge can flow among nations over long distances and ultimately change natural resource policy.

In the human movement telecoupling, the physical relocation of fisheries stakeholders is the principal flow (Table 1, Fig. 1). For example, when the Soviet Union formed a partnership with Peru in the late 1960s to establish a diplomatic and military presence in South America, 1400 Peruvian students received fellowships to attend universities in the Soviet Union and allied eastern European countries (Table 1; Berrios and Blasier 1991). In return, Peru constructed the Paita complex for docking and servicing of 220 Soviet fishing vessels and facilitated the flow of more than 35,000 Soviet fishers to Peru (Table 1, Fig. 1), along with Soviet military advisors ( $n = 125$ ) and equipment (250 tanks, 36 fighter bombers, 16 planes, and 7 helicopters; Berrios and Blasier 1991, Österblom and Folke 2015). Movements of Peruvian fishers traveling to foreign nations to learn fishing methods in the 1960s and 1970s (Orlic 2011) also contributed to the human movement telecoupling. Today, the Peruvian anchoveta fishery employs more than 17,000 commercial fishers, 47,000 fish processors, and 81,500 restaurant workers (Christensen et al. 2014), many of whom travel within Peru and/or internationally (Orlic 2011) and thereby promote the human movement telecoupling.

### Agents

Agents are the individuals, organizations, governments, and other entities that influence flows between sending and receiving systems. In the FMFO trade telecoupling, the Peruvian government, commercial fishers, and fish processors are the principal agents because they make decisions and perform actions (e.g., fish capture, processing) that facilitate international exchange of FMFO (Table 1; Orlic 2011). For instance, recognizing the potential economic value of the anchoveta fishery for export-driven revenue, historical Peruvian political leaders

maximized short-term profits from the fishery (Glantz 1979, Aguilar Ibarra et al. 2000). Juan Francisco Velasco Alvarado seized the Peruvian government in 1968 and increased state control of the anchoveta fishery to promote national economic growth (Orlic 2011). To maximize profits, Alvarado set an anchoveta harvest quota of 9.5 MMT from 1967 to 1968 and increased it to 12.3 MMT from 1968 to 1969 to increase its economic return (IMARPE 1970). Anchoveta harvest increased dramatically as commercial fishing operations expanded, which caused fishery overcapitalization (Gréboval and Munro 1999).

Outside Peru, FMFO producers and governments are the major agents of FMFO trade in receiving systems because they purchase FMFO and provide these products to recipient industries, e.g., aquaculture or animal agriculture (Table 1; McPhaden 2003). For instance, fish oil producers in Europe affect FMFO exports in Peru by only purchasing products that meet high sanitation standards, which motivates Peruvian fishing companies to ensure they produce high-quality FMFO (IFFO 2009). In spillover systems, commercial fisheries and fishing companies serve as agents because they are affected by changes in anchoveta harvest and trade in the sending system (Table 1; Orlic 2011). Moreover, the World Bank and the FAO were historical agents of FMFO trade in spillover systems because they provided monetary resources for fishery establishment and growth (Table 1; Orlic 2011).

In the fishery finances telecoupling, governments and FMFO producers are the principal agents in sending systems because they make decisions regarding FMFO imports (Table 1; Orlic 2011). However, in receiving systems such as Peru, commercial fishers, fishing companies, and political leaders are the major agents of monetary flow (Orlic 2011). For example, as the Peruvian anchoveta fishery developed during the 1960s and the 1970s, Peruvian commercial fishers, fishing companies, and political leaders were the agents that caused financial flows to establish fishery infrastructure and enhance food security and socioeconomic well-being (Table 1; Gréboval and Munro 1999). In spillover systems, agents of financial flows include aquaculture and animal agriculture producers, political leaders, and others who are affected by monetary exchanges between sending and receiving systems (Table 1; Orlic 2011).

In the knowledge transfer telecoupling, agents in sending systems include commercial fishers, fishing companies, and political leaders who provide knowledge about the fisheries they represent (Table 1; Orlic 2011). For example, Peruvian fishing companies are agents of knowledge transfer in Peru because they supply information about the anchoveta fishery (e.g., fish harvest and processing, fishery sustainability) to receiving systems. In receiving systems, the main agents are the news media outlets, editors and publishers of academic journals and books, and website developers that obtain knowledge from Peru and disseminate it to the scientific and lay audiences that serve as agents in spillover systems (Table 1; Orlic 2011).

In the human movement telecoupling, Peruvian fishing companies and political leaders are the primary agents who cause fishers to move between Peru and receiving systems to learn fishing technologies (Table 1; Orlic 2011). Similarly, governments and fishing companies were the principal agents that caused thousands of distant water fishers to move to Peru from the Soviet

Union, Japan, Spain, and other sending systems in the mid-twentieth century (Table 1). Moreover, the Soviet Union was the agent that caused 125 military advisors to travel to Peru and 1400 Peruvian students to move to the Soviet Union and allied eastern European countries (Berrios and Blasier 1991, Galdorisi and Kaufman 2001, Österblom and Folke 2015). Agents in spillover systems include the people travelers interact with as they move to and from Peru (Orlic 2011).

### Causes

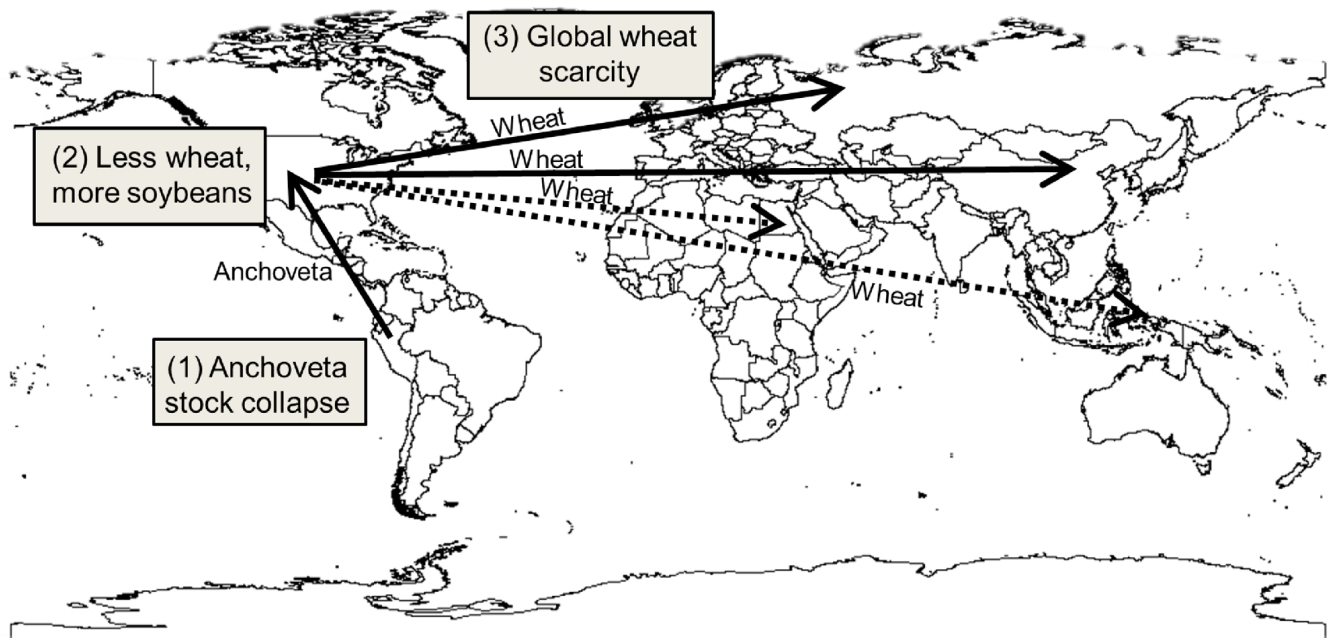
Causes are the reasons (e.g., economic, political, social, cultural, ecological) explaining why telecouplings occur. In the FMFO trade telecoupling, climate is a cause of anchoveta production, harvest, and trade (Table 1; Barber and Chavez 1983, Cury et al. 2000). Historically, a political cause of FMFO trade was the desire of the Peruvian government to use its abundant fisheries resources to promote monetary flow and economic growth in Peru (Aguilar Ibarra et al. 2000, Orlic 2011). Although a profit-seeking mentality and unsustainable fishery regulations initiated overcapitalization and overharvest (Gréboval and Munro 1999), the prospect of financial gains generated from anchoveta stocks continues to sustain the fishery and its global importance (Table 1; Glantz 1979). Other causes of FMFO trade are economic, i.e., increasing international demand for feed (e.g., aquaculture, animal agriculture) and fish oil (Avadí et al. 2014, Fréon et al. 2014), and humanitarian, i.e., the desire to feed growing human populations throughout the world (Orlic 2011).

In the fishery finances telecoupling, demand for FMFO and associated food and revenue from aquaculture and animal agriculture production are the principal causes of financial flows from sending systems to Peru (Table 1; Avadí et al. 2014, Fréon et al. 2014). For example, sending systems participate in the international FMFO market because they receive income and profits from the anchoveta-based products they sell (Orlic 2011). In nations that import cured anchoveta for DHC, a humanitarian cause for the monetary flow is the desire to feed people, which supplements economic reasons for importing anchoveta products (Table 1; Orlic 2011). Historically, the need to develop fishery infrastructure to improve food security and socioeconomic well-being was the cause for financial flows from Peru to receiving systems (Lemay 1998).

In the knowledge transfer telecoupling, several factors are responsible for flows of information about the Peruvian anchoveta fishery. For instance, fishing companies and political leaders established the fishery under the premise that the sheer abundance of Peruvian anchoveta would provide enhanced income, financial and food security, and national identity (Table 1; Aguilar Ibarra et al. 2000, Orlic 2011). As knowledge about anchoveta harvest and processing spread and infrastructure expanded, a profit-seeking mentality was a major cause of continued knowledge transfer and fishery development (Table 1; Orlic 2011). Overcapitalization and declining profits (economic causes) and eventual stock collapse in 1972 (an ecological cause) prompted Peruvian political leaders and fisheries research organizations to recognize the importance of sustainable fishery management (Gréboval and Munro 1999, Mondoux et al. 2008).

In the human movement telecoupling, a variety of factors cause fisheries stakeholders to move to and from Peru. For example, technological and economic causes for sending Peruvian fishers

**Fig. 2.** Effects of the 1972 anchoveta stock collapse as inferred using the telecoupling framework. Events occur in numerical order: (1) stock collapse; (2) effects on soybean and wheat production in the United States; (3) effects on wheat exports to the Soviet Union, China, Egypt, and Indonesia. The stock collapse decreased flows of anchoveta from Peru (i.e., sending system) to the United States (i.e., receiving system; Orlic 2011). This caused the United States to plant less wheat and more soybeans to increase production of soybean-based poultry feeds (McPhaden 2003). In turn, the United States exported less wheat, causing shortages in wheat-importing nations (i.e., spillover systems) and intensifying global wheat scarcity (Glantz 1979). Egypt and Indonesia experienced harmful feedbacks (denoted by dotted arrows) wherein resource-limited domestic wheat production was exacerbated by impaired flows of wheat and fertilizer from the United States due to a shortage of ocean freighters (Orlic 2011).



to other countries were the desire of fishing companies to learn new fishing strategies and increase revenue from anchoveta harvest (Table 1; Orlic 2011). In addition, diplomatic and political causes for movement of more than 35,000 distant water fishers and 125 military advisors included the Soviet Union's desire to establish a foothold in Peru and harvest abundant anchoveta stocks (Berrios and Blasler 1991, Galdorisi and Kaufman 2001, Österblom and Folke 2015).

### Effects

Effects are socioeconomic and environmental impacts of telecouplings. In the FMFO trade telecoupling, Peru's participation in international FMFO markets in the 1960s caused a series of effects: economic growth, employment, coastal development, and accelerated anchoveta harvest and trade (Table 1; Orlic 2011). However, subsequent effects, both economic (overcapitalization) and ecological (stock collapse; Gréboval and Munro 1999, Bertrand et al. 2004), were harmful for Peru and receiving and spillover systems that had come to rely on consistent supplies of anchoveta FMFO and associated revenue (Orlic 2011). For example, the collapse decreased anchoveta harvest in Peru from > 11 MMT to < 2 MMT and reduced FMFO supply for international trade, causing a shortage in receiving systems such as the United States (Orlic 2011). With less FMFO for producing animal feeds, the United States changed its agricultural practices and planted less wheat and more soybeans to increase

production of soybean-based feeds for animal agriculture (Fig. 2; McPhaden 2003). This shift caused wheat prices to soar from US\$55 per ton on July 1, 1972 to \$100 per ton on December 31, 1972 (Borlaug 1973). In turn, the United States exported less wheat, causing shortages in wheat-importing nations (e.g., Soviet Union, China, Indonesia, Egypt) and intensifying global wheat scarcity and food insecurity (Fig. 2; Glantz 1979). Forced to import wheat from international markets, the Soviet Union, China, Indonesia, and Egypt became spillover systems relative to the Peruvian anchoveta fishery (Table 1, Fig. 2; Borlaug 1973). In the aftermath of the stock collapse, Peruvian political leaders and fisheries research organizations (e.g., Instituto del Mar del Peru) recognized that sole focus on profit maximization from FMFO trade was not a sustainable long-term approach for anchoveta management (Orlic 2011).

In addition, FMFO policy decisions in nations outside Peru cause changes in flows of knowledge and materials to and from Peru (Orlic 2011). For example, when European fish oil producers anticipated that the European Union would institute a sanitary regulation for fish oil trade in 2009, fish oil imports swelled before the regulation commenced (Fréon et al. 2014). Ultimately, Peruvian companies invested in fishing technologies such as refrigerated fish holds and recirculating seawater systems to enhance the longevity and safety of harvested anchoveta meat (Orlic 2011). Today, fish oil producers in Norway demand fish oil



rich in omega-3 fatty acids, which causes Peruvian fishing companies to use processing technologies that increase the omega-3 content of anchoveta-based fish oil (Orlic 2011). These examples illustrate how long-distance socioeconomic interactions cause policy decisions in Europe to cascade back to Peru via knowledge and material flows that incentivize Peruvian fishing companies to export nutritious, sanitary anchoveta FMFO.

In the fishery finances telecoupling, monetary investments from international organizations stimulated development and expansion of the Peruvian anchoveta fishery (Lemay 2008, Orlic 2011). Likewise, throughout the fishery's history, continued financial flows from sending systems to Peru, driven by demand for fish products, food security, and socioeconomic well-being, have ensured the fishery's longevity (Table 1; Orlic 2011). However, a profit-seeking mentality by Peruvian political leaders in the 1960s (Aguilar Ibarra et al. 2000) inhibited the Peruvian government from implementing sustainable fisheries management practices that may have prevented stock collapse and increased economic stability (Aguero and Zuleta 1994, Orlic 2011).

In the knowledge transfer telecoupling, historical effects included increased anchoveta harvest and trade, followed by overcapitalization (Gréboval and Munro 1999), and the emergence of sustainability as a fishery management paradigm (Table 1; Mondoux et al. 2008, Orlic 2011). For example, Peru participated in international conferences in 1987 and 1989 that promoted information exchange regarding sustainable anchoveta management (Orlic 2011). International knowledge transfer also caused Peruvian fishing companies to implement maximum permissible limits for emissions and effluents and offer their employees child education assistance, bonuses and financial incentives, and pensions for early retirement (IFFO 2009). These programs have engendered a sense of corporate responsibility in the Peruvian anchoveta fishery, marking a new era of fishery conservation (Mondoux et al. 2008) and demonstrating the importance of knowledge transfer as a telecoupled fisheries process that impacts social-ecological sustainability (Orlic 2011).

Effects of the human movement telecoupling are diverse and context dependent. For instance, Peruvian fishers historically returned from abroad with knowledge that facilitated use of novel net types (e.g., nylon with high tensile strength) and ship designs with fish refrigeration, recirculating seawater systems, and depth finders (Table 1; Orlic 2011). Continued distant water fishing for anchoveta caused Peru and nearby countries to adopt policies to protect coastal sovereignty (Table 1; Galdorisi and Kaufman 2001). Moreover, Peru's partnership with the Soviet Union during the 1960s and 1970s enabled 1400 Peruvian students to attend international universities and allowed the Soviet Union to gain a diplomatic presence in South America (Berrios and Blasier 1991). Today, the fishery promotes local, regional, and international movement of the 232,000 people it employs directly or indirectly (Christensen et al. 2014) causing the fishery to remain a globally interconnected system with flows of FMFO, money, and knowledge (Orlic 2011).

#### INTERACTIONS AMONG TELECOUPLED PROCESSES

Relationships among telecouplings are complex and context dependent. Telecouplings may amplify or inhibit each other, or interact to influence existing telecouplings, or form novel telecouplings (Liu et al. 2013). For instance, international trade

of anchoveta FMFO promotes the exchange of money, information, and people in local and distant areas (Lemay 1998, Orlic 2011, Österblom and Folke 2015). In addition, monetary flow promotes FMFO trade and movement of anchoveta stakeholders and their fisheries knowledge (Galdorisi and Kaufman 2001, Orlic 2011). Telecouplings may also offset each other (Liu et al. 2013). For instance, the Peruvian anchoveta fishery illustrates how focusing solely on financial revenue from FMFO trade can inhibit the acquisition of knowledge about biological constraints to fish production and prevent the exchange of information (via international movement of fishers) regarding sustainable fisheries management (Aguilar Ibarra et al. 2000, Orlic 2011).

Telecouplings may interact to influence existing or form novel telecouplings. For instance, fisheries telecouplings (e.g., FMFO trade, monetary exchange) may interact unexpectedly to influence trade and financial telecouplings in other sectors (e.g., agriculture), as demonstrated by the effects of anchoveta on soybeans and wheat (Borlaug 1973, Glantz 1979). Telecouplings may also exhibit complex dynamics (e.g., feedbacks, regime shifts; Liu et al. 2013, Österblom and Folke 2015) that result from distant ecological and socioeconomic interactions. For instance, the desire of Peruvian fishing companies to advance fishing capacity and increase profits (Glantz 1979, Aguilar Ibarra et al. 2000) caused a feedback wherein companies invested progressively more resources to maximize fleet carrying capacities, engendering corporate competition that intensified fishery overcapitalization (Lemay 1998). Moreover, after the unexpected anchoveta collapse caused a reduction in global wheat supply (Borlaug 1973, Glantz 1979), spillover systems to the anchoveta fishery, such as Egypt and Indonesia, experienced a harmful feedback wherein a shortage of ocean freighters impaired flows of wheat and fertilizer from the United States and thereby exacerbated resource-limited domestic wheat production (Fig. 2; Orlic 2011).

#### INSIGHTS OF THE TELECOUPLING FRAMEWORK FOR FISHERIES POLICY AND MANAGEMENT

The purpose of this study was to use the telecoupling framework to evaluate flows of fish products, money, knowledge, and people in the Peruvian anchoveta fishery and inform development of ecologically, socioeconomically sustainable fishery policy and management approaches now and in the future. We demonstrated how the telecoupling framework enables systematic assessment of the systems, agents, causes, and effects involved in movements of anchoveta products, money, knowledge, and people throughout the world. In turn, evaluation of these telecouplings yields insights for sustainable anchoveta management and policy making. For instance, by illustrating how anchoveta telecouplings caused a biologically productive fishery to become progressively vulnerable to overfishing, overcapacity, stock collapse, and socioeconomic upheaval, the telecoupling framework provides a road map for fishery sustainability. Anchoveta policy and management should not be narrowly focused on individual objectives (e.g., profit maximization), which obscures broader environmental and socioeconomic processes regulating the fishery. Rather, robust policy and management approaches that incorporate telecoupled flows and capitalize on their advantages (e.g., international knowledge transfer promotes fishery sustainability) are needed to protect anchoveta populations and enhance benefits for anchoveta-dependent stakeholders.

Our results indicate that effects of anchoveta telecouplings are diverse and often complicated, particularly in spillover systems. As such, fisheries professionals should design policy and management strategies that account for spillover effects, particularly those that extend outside the fisheries realm. For example, because anchoveta abundance influences soybean cultivation and wheat availability (Borlaug 1973, Glantz 1979), fisheries and agricultural professionals need to consider the effects of each sector on the other to promote sustainable anchoveta management and international food security. Flow diagrams, mathematical models, and other tools (e.g., agent-based models, social network analysis; Bodin and Prell 2011, Liu et al. 2013) are promising methods for evaluating past and present anchoveta telecouplings. In turn, fisheries professionals can use this information to forecast the systems, flows, agents, causes, and effects associated with future anchoveta telecouplings. Results from this study demonstrate the important contributions of the telecoupling framework for systematically analyzing environmental and socioeconomic fishery interactions and promoting informed anchoveta policy and management.

The utility of the telecoupling framework for fisheries policy and management may extend beyond Peruvian anchoveta. For example, fisheries professional and stakeholders can use the telecoupling framework to identify the values (e.g., social, psychological, aesthetic, ecological, educational) that underlie fisheries systems and track how they change over space and time in relation to ecological conditions (Gelcich et al. 2017). These contributions are important because fisheries professionals and stakeholders must understand the unique values held by citizens and legislators to promote open, transparent communication with these groups and thereby foster public acceptance and legislative support for fisheries management (Knuth 2002). Although the human dimensions of fisheries have been investigated since the 1960s (Fulton and Adelman 2003, Hunt et al. 2013), laying a foundation for recent studies of fisheries as social-ecological systems (Cinner et al. 2012, 2013, Basurto et al. 2013, Castilla et al. 2016, Defeo et al. 2016, Folke et al. 2016), the telecoupling framework has not been widely applied to understand socioeconomic and environmental interactions among fisheries. By operationalizing the process of identifying social-ecological connections and associated management applications in fisheries, the telecoupling framework contributes to the science and management of fisheries as CHANS. Overall, there are numerous opportunities for future researchers to apply the telecoupling framework to investigate the emergence of, spatiotemporal changes in, and interactions among fisheries telecouplings throughout the world.

Using the telecoupling framework demonstrates how systematic assessment of fisheries flows can provide information for policy and management that traditional approaches, i.e., those that only consider socioeconomic or ecological perspectives at a single place, cannot. For instance, after the anchoveta fishery entered a boom period with remarkable fish productivity and public support for fish harvest (Orlic 2011), unexpected consequences (e.g., overcapacity, overfishing, stock collapse) and their interactions can only be explained by examining telecouplings. Similarly, environmental and socioeconomic limitations to anchoveta productivity and eventual improvements in fishery sustainability (Mondoux et al. 2008, Orlic 2011) were telecoupled

processes involving social and ecological causes and effects that are only evident using the telecoupling framework. Ultimately, fisheries professionals can use the telecoupling framework to design adaptive policy and management strategies that incorporate knowledge of local and distant socioeconomic and environmental interactions. For example, fisheries professionals and stakeholders can apply the telecoupling framework to trace the origins of fish and fish products (von der Heyden et al. 2014), investigate trade-offs of international fisheries commerce (e.g., revenue versus reduced local food security; Crona et al. 2015), and make informed fish consumption choices (Watson et al. 2016). In turn, fisheries professionals can use this information to develop ecologically, socioeconomically informed fisheries policy and management approaches that promote global, multilevel fisheries governance that accounts for the effects of fish harvest on ecosystems and food security (Crona et al. 2015, Gephart et al. 2016, 2017).

The telecoupling framework offers many contributions that can enhance fisheries science, policy, and management. For instance, the telecoupling framework is a systematic tool for understanding the conditions (e.g., social, political, economic, climatic, ecological) that affect fisheries as CHANS. The telecoupling framework provides an organized method for evaluating the causes and effects of these conditions in a logical manner. This is an important contribution in a fisheries discipline in which uncertainty is common and difficult to overcome (Botsford et al. 1997, Fulton et al. 2011). The telecoupling framework also enables assessment of social-ecological interactions within and among fisheries, a crucial step toward filling knowledge gaps stemming from historical focus on either human or natural components of specific fisheries (Arlinghaus et al. 2013, Hunt et al. 2013). The telecoupling framework also yields innovative insights about fisheries dynamics (e.g., how and why an anchoveta boom led to stock collapse and socioeconomic turmoil, how and why international knowledge transfer fostered fishery sustainability). Such novel information is a critical contribution of the telecoupling framework because it broadens and deepens knowledge provided by traditional research approaches and sets the stage for more integrative science for fishery sustainability. In addition, the telecoupling framework has considerable flexibility (i.e., understanding social-ecological interactions in different fisheries) and applicability (i.e., translating science into policy and management approaches), making it a novel instrument for fisheries science and practice.

In conclusion, the telecoupling framework is an effective tool for investigating long-distance socioeconomic and environmental interactions associated with the globally important Peruvian anchoveta fishery. As a systematic instrument for integrating the diverse social and ecological factors influencing anchoveta and anchoveta-dependent human communities, the telecoupling framework helps clarify the fishery's complex dynamics (e.g., flows, agents, causes, effects, feedbacks). The telecoupling framework is a logical extension to traditional anchoveta research that was either ecologically or socioeconomically focused, providing novel fishery insights (e.g., role of spillover systems, international knowledge exchange) that advance those provided by historical monothematic approaches. In turn, these insights foster robust approaches for informed anchoveta management and policy making. Beyond the Peruvian anchoveta fishery, the

telecoupling framework is a flexible, widely applicable tool for fisheries science and sustainability. By allowing systematic evaluation of the local to global processes influencing fisheries systems, the telecoupling framework can help promote ecologically and socioeconomically sustainable fisheries policy, management, and governance throughout the world.

Responses to this article can be read online at:  
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